


040904

13281 U.S. PTO

PTO/SB/05 (03-01)  
Approved for use through 10/31/2002. OMB 0651-003222859 U.S. PTO  
10/820708

040904

| UTILITY<br>PATENT APPLICATION<br>TRANSMITTAL<br>(Only for new nonprovisional applications under 37 CFR 1.53(b))   |  | Attorney Docket No.  | 002519-30  |
|---|--|--|--|
|   |  | First Inventor   | Gene Samburg et al.  |
|   |  | Title  | INTEGRATED READER DEVICE FOR<br>USE IN CONTROLLING SECURE<br>LOCATION ACCESS AND A METHOD OF<br>ASSEMBLY AND INSTALLATION OF<br>THE INTEGRATED READER DEVICE |
|   |  | Express Mail Label No.   |  |
| APPLICATION ELEMENTS<br>See MPEP chapter 600 concerning utility patent application contents.  |  | Mail Stop Patent Application<br>Commissioner for Patents<br>P.O. Box 1450<br>Alexandria, VA 22313-1450   |  |
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17)<br>(Submit an original and a duplicate for fee processing)  |  | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or<br>Computer Program (Appendix)   |  |
| 2. <input type="checkbox"/> Applicant claims small entity status.<br>See 37 CFR 1.27.   |  | 8. Nucleotide and/or Amino Acid Sequence Submission<br>(if applicable, all necessary)  |  |
| 3. <input checked="" type="checkbox"/> Specification [Total Pages 15]<br>(preferred arrangement set forth below)  |  | a. <input type="checkbox"/> Computer Readable Form (CRF)   |  |
| - Descriptive title of the invention  |  | b. Specification Sequence Listing on:  |  |
| - Cross Reference to Related Applications   |  | i. <input type="checkbox"/> CD-ROM or CD-R (2 copies; or   |  |
| - Statement Regarding Fed sponsored R & D   |  | ii. <input type="checkbox"/> paper   |  |
| - Reference to sequence listing, a table,<br>or a computer program listing appendix   |  | c. <input type="checkbox"/> Statements verifying identity of above copies  |  |
| - Background of the Invention   |  | ACCOMPANYING APPLICATION PARTS   |  |
| - Brief Summary of the Invention  |  | 9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))   |  |
| - Brief Description of the Drawings (if filed)  |  | 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of<br>(when there is an assignee) Attorney                    |  |
| - Detailed Description  |  | 11. <input type="checkbox"/> English Translation Document (if applicable)  |  |
| - Claim(s)  |  | 12. <input type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS<br>Statement (IDS)/PTO-1449 Citations                   |  |
| - Abstract of the Disclosure  |  | 13. <input type="checkbox"/> Preliminary Amendment   |  |
| 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 8]  |  | 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br>(Should be specifically itemized)                                    |  |
| 5. Oath or Declaration [Total Pages 1]  |  | 15. <input type="checkbox"/> Certified Copy of Priority Document<br>(if foreign priority is claimed)   |  |
| a. <input checked="" type="checkbox"/> Newly executed (original or copy)  |  | 16. <input type="checkbox"/> Nonpublication request under 35 U.S.C.<br>122(b)(2)(B)(i). Applicant must attach form<br>PTO/SB/35 or its equivalent. |  |
| b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))<br>(for continuation/divisional with Box 18 completed)   |  | 17. <input type="checkbox"/> Other: _____  |  |
| i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u><br>Signed statement attached deleting inventor(s)<br>named in the prior application, see 37 CFR<br>1.63(d)(2) and 1.33(b)  |  |  |  |
| 6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76  |  |  |  |
| 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,<br>or in an Application Data Sheet under 37 CFR 1.76:<br><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No.: _____/<br>Prior application information: Examiner _____ Group / Art Unit: _____         |  |  |  |
| For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under<br>Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The<br>incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts. |  |  |  |
| 19. CORRESPONDENCE ADDRESS  |  |  |  |
| <input checked="" type="checkbox"/> Customer Number or Bar Code Label   |  | 22204<br>(Insert Customer No. or Attach bar code label here)   |  |
|   |  | or <input type="checkbox"/> Correspondence address below   |  |
| Name _____  |  |  |  |
| Address _____   |  |  |  |
| City _____  |  | State _____  | Zip Code _____   |
| Country _____   |  | Telephone _____  | Fax _____  |
| Name (Print/Type) Jeffrey L. Costello   |  | Registration No. (Attorney/Agent) 35,483   |  |
| Signature    |  | Date   | April 9, 2004  |

# FEE TRANSMITTAL FOR FY 2003

*Patent fees are subject to annual revision.*

☐ Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** \$810.00

*Complete if Known*

|                      |                     |
|----------------------|---------------------|
| Application Number   | New Application     |
| Filing Date          | April 9, 2004       |
| First Named Inventor | Gene SAMBURG et al. |
| Examiner Name        |                     |
| Art Unit             |                     |
| Attorney Docket No.  | 002519-30           |

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number: 19-2380 (002519-30)

Deposit Account Name: Nixon Peabody LLP

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity Fee Code | Large Entity Fee (\$) | Small Entity Fee Code | Small Entity Fee (\$) | Fee Description        | Fee Paid |
|-----------------------|-----------------------|-----------------------|-----------------------|------------------------|----------|
| 1001                  | 770                   | 2001                  | 385                   | Utility filing fee     | \$770    |
| 1002                  | 340                   | 2002                  | 170                   | Design filing fee      |          |
| 1003                  | 530                   | 2003                  | 265                   | Plant filing fee       |          |
| 1004                  | 770                   | 2004                  | 385                   | Reissue filing fee     |          |
| 1005                  | 160                   | 2005                  | 80                    | Provisional filing fee |          |

**SUBTOTAL (1)** \$770.00

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims: 16 -20\*\* = 0 X Fee from below = Fee Paid

Independent Claims: 2 -3\*\* = 0 X Fee from below = Fee Paid

Multiple Dependent: X Fee from below = Fee Paid

| Large Entity Fee Code | Large Entity Fee (\$) | Small Entity Fee Code | Small Entity Fee (\$) | Fee Description  |
|-----------------------|-----------------------|-----------------------|-----------------------|--|
| 1202                  | 18                    | 2202                  | 9                     | Claims in excess of 20                                     |
| 1201                  | 86                    | 2201                  | 43                    | Independent claims in excess of 3                          |
| 1203                  | 290                   | 2203                  | 145                   | Multiple dependent claim, if not paid                      |
| 1204                  | 86                    | 2204                  | 43                    | ** Reissue independent claims over original patent         |
| 1205                  | 18                    | 2205                  | 9                     | ** Reissue claims in excess of 20 and over original patent |

**SUBTOTAL (2)** \$

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Entity |          | Small Entity |          | Fee Description  |
|--------------|----------|--------------|----------|--|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |  |
| 1051         | 130      | 2051         | 65       | Surcharge - late filing fee or oath  |
| 1052         | 50       | 2052         | 25       | Surcharge - late provisional filing fee or cover sheet                     |
| 1053         | 130      | 1053         | 130      | Non-English specification  |
| 1812         | 2,520    | 1812         | 2,520    | For filing a request for <i>ex parte</i> reexamination                     |
| 1804         | 920*     | 1804         | 920*     | Requesting publication of SIR prior to Examiner action                     |
| 1805         | 1,840*   | 1805         | 1,840*   | Requesting publication of SIR after Examiner action                        |
| 1251         | 110      | 2251         | 55       | Extension for reply within first month                                     |
| 1252         | 420      | 2252         | 210      | Extension for reply within second month                                    |
| 1253         | 950      | 2253         | 475      | Extension for reply within third month                                     |
| 1254         | 1,480    | 2254         | 740      | Extension for reply within fourth month                                    |
| 1255         | 2,010    | 2255         | 1,005    | Extension for reply within fifth month                                     |
| 1401         | 330      | 2401         | 165      | Notice of Appeal   |
| 1402         | 330      | 2402         | 165      | Filing a brief in support of an appeal                                     |
| 1403         | 290      | 2403         | 145      | Request for oral hearing   |
| 1451         | 1,510    | 1451         | 1,510    | Petition to institute a public use proceeding                              |
| 1452         | 110      | 2452         | 55       | Petition to revive - unavoidable   |
| 1453         | 1,330    | 2453         | 665      | Petition to revive - unintentional   |
| 1501         | 1,330    | 2501         | 665      | Utility issue fee (or reissue)   |
| 1502         | 480      | 2502         | 240      | Design issue fee   |
| 1503         | 640      | 2503         | 320      | Plant issue fee  |
| 1460         | 130      | 1460         | 130      | Petitions to the Commissioner  |
| 1807         | 50       | 1807         | 50       | Processing fee under 37 CFR 1.17(q)  |
| 1806         | 180      | 1806         | 180      | Submission of Information Disclosure Stmt                                  |
| 8021         | 40       | 8021         | 40       | Recording each patent assignment per property (times number of properties) |
| 1809         | 770      | 2809         | 385      | Filing a submission after final rejection (37 CFR 1.129(a))                |
| 1810         | 770      | 2810         | 385      | For each additional invention to be examined (37 CFR 1.129(b))             |
| 1801         | 770      | 2801         | 385      | Request for Continued Examination (RCE)                                    |
| 1802         | 900      | 1802         | 900      | Request for expedited examination of a design application                  |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** \$40.00

## SUBMITTED BY

Name (Print/Type) Jeffrey I. Costellia

Signature

Registration No. 35,483  
(Attorney/Agent)

## Complete (if applicable)

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Date April 9, 2004